

**DRD SECTION 75 EQUALITY OF OPPORTUNITY SCREENING  
ANALYSIS FORM 2006  
DRAFT THE PENALTY CHARGES (ADDITIONAL ROAD  
TRAFFIC CONTRAVENTIONS) REGULATIONS (NORTHERN  
IRELAND) 2006**

**Section 1**

**Introduction**

This form is intended to help you to consider whether a new policy (either internal or external) or legislation will require a full equality impact assessment (EQIA). Those policies identified as having significant implications for equality of opportunity must be subject to full EQIA.

The form will provide a record of the factors taken into account if a policy is screened out, or excluded for EQIA. It will provide a basis for consultation on the outcome of the screening exercise and will be referenced in the quarterly review of progress made to the Minister and in the Annual Report to the Equality Commission. Copies of completed forms should be retained on file within business areas and reference should be made to the outcome of the screening exercise and subsequent consultation in any submission made to the Minister.

**Background**

**The Legal Background**

Under section 75 of the Northern Ireland Act 1998, the Department is required to have due regard to the need to promote equality of opportunity:

- between persons of different religious belief, political opinion, racial group, age, marital status or sexual orientation;
- between men and women generally;
- between persons with a disability and persons without; and
- between persons with dependants and persons without.

The main groups within each of the nine categories, highlighted above, and identified at Appendix 1.

In addition, without prejudice to its obligations above, the Department is also required, in carrying out its functions relating to Northern Ireland, to have regard to the desirability of promoting good relations between persons of different religious beliefs, political opinion or racial group.

**Advice on Completion of the Screening Form**

It is important that the screening form is completed carefully and thoughtfully. Your Divisional or Agency Equality Officer and the Department's Equality Unit in room 413A will be happy to assist with all aspects of the screening process and will help with the completion of the form, if required.

Further advice on the screening process can be accessed at Section 4 of the Equality Commission's Guide to the Statutory Duties.

### **Policies included for EQIA**

If, after screening, it is decided that a policy will require a full EQIA, a decision will be required on the priority and timing of the assessment. The screening form should be noted accordingly, signed off and copied to the Equality Unit for inclusion in the EQIA programme.

### **Policies excluded for EQIA**

If a decision is taken to screen out the policy or where there is ambiguity about the equality implication of the proposal, the screening form should be signed off by a senior officer responsible for the policy and a copy sent to the Equality Unit to arrange for consultation. Copies of all screening out forms will be placed on the Department's consultation website.

## **Section 2 – Policy to be Screened**

### **Definition of Policy**

There have been some difficulties in defining what constitutes a policy in the context of Section 75. To be on the safe side it is recommended that you consider changes to or any new initiatives, proposals, schemes or programmes as policies. The policies covered in the Equality Scheme EQIA programme are a reasonable guide both to the nature of departmental policies and the level at which they should be considered.

The revised Guidance from The Equality Commission emphasises that the Statutory duties apply to internal policies (relating to people who work for the organisation) as well as external policies (relating to those who are, or could be, served by the organisations).

It is important to remember that even if a full EQIA has been carried out in respect of an "overarching" policy or strategy, it will still be necessary for the policy maker to consider if a further EQIA needs to be carried out in respect of those policies cascading from the overarching strategy.

OFMDFM Guidance on Legislative Procedures (Primary and Subordinate) sets out clearly the stages at which equality of opportunity considerations should be taken into consideration in the development of legislation.

### **Overview of Policy Proposals**

The aims and objectives of the policy must be clear and well defined. You must take into account any available data of evidence that will enable you to come to a decision on whether or not a policy may or may not have a differential impact on any of the S75 categories. Evidence may be qualitative and or quantitative and may include research or internal information and or experience in relation to service and customer

monitoring exercises. Where appropriate, arrangements should be made to obtain any data necessary to assist screening.

**2.1 Please insert below a brief description of the policy/legislation, including the title and all the main aims and objectives**

**The Penalty Charges (Additional Road Traffic Contraventions) Regulations (Northern Ireland) 2006**

These Regulations are being made in connection with the introduction of decriminalised parking enforcement (“DPE”).

The Traffic Management (NI) Order 2005 provides that a penalty charge is payable with respect to a vehicle involved in a road traffic contravention specified in Schedule 1 to that Order. In addition, there is provision for the Department by regulations to amend Schedule 1 of said Order by adding any contravention of a statutory provision involving a vehicle, whether stationary or not. These Regulations amend Schedule 1 by the addition of offences in respect of contraventions of specified moving traffic offences.

The main objective of these Regulations is to contribute to improvements in traffic management and road safety.

It is essential that all the aims/objectives of the policy be clearly and fully defined.

**2.2 On whom will the policies/legislation impact? Please specify**

Road users and, in particular, drivers of vehicles.

**2.3 Who is responsible for (a) devising and (b) delivering the policy eg is it DRD, a Whitehall Department or EU? What is the relationship and have they considered this issue and any equality issues?**

DRD. Equality issues have been considered.

**2.4 What linkages are there to other NI Departments/NDPBs in relation to this policy/legislation?**

None.

**2.5 What data are available to facilitate the screening of this policy/legislation?**

Impact Assessment screenings were carried out on the proposals for DPE as presented in the public policy consultation document i.e. that the decriminalisation of parking and waiting offences would result in a change in how enforcement is carried out, rather than a change to the restrictions themselves. The Equality of Opportunity screening on the proposed policy concluded that a full equality impact assessment was not required, primarily because the decriminalisation of on-street parking offences would result only in the transfer of an existing enforcement function from the PSNI to the Department. There would, therefore, be no significant adverse impact on any of the groups listed in Section 75 of the Northern Ireland Act 1998. A report of the equality screening can be found at

[www.roadsni.gov.uk/consultation/consultation.htm](http://www.roadsni.gov.uk/consultation/consultation.htm)

**2.6 Is additional data required to facilitate screening? If so, give details of how and when it will be obtained.**

No.

**See Appendix 4 of the Equality Commission Practical Guidance on EQIA which provides a list of Sources of S75 data or speak to Central Statistics Research Branch, (Dr Tracy Power ext 40878) or Equality Unit (Liz Stewart, ext 40867, or Ciaran Crosbie ext 40824).**

**Section 3 – Screening Analysis**

In cases where there is no available quantitative evidence, you will need to take a pragmatic, common sense judgement as to whether the policy/legislation you are screening may have a particular/differential impact on any of the groups. Discussions with Equality Unit, Statistics Branch and organisations representing the Section 75 Groups will be important and helpful at this stage in obtaining qualitative evidence of impacts. Every effort should be made to ensure that assessments are evidence based.

The following criteria must be considered when screening.

**3.1 Is there any evidence of higher or lower participation or uptake by the following Section 75 groups?**

	<b>Yes</b>	<b>No</b>
Religious belief		X
Political opinion		X
Racial group		X
Age		X
Marital status		X
Sexual orientation		X
Sex	X	
Disability		X
Dependency		X

**Please give details**

Current figures show that more parking contraventions are committed by men than women. However penalty charge notices (PCNs) will be issued to contravening vehicles strictly in accordance with enforcement guidelines. The gender of the vehicle driver will not be part of the enforcement criteria. Men may actually in practice receive more PCNs but that impact is self-inflicted and not adverse.

**3.2 Is there evidence that any of the following Section 75 groups have different needs, experiences, issues and priorities in relation to this policy issue?**

	<b>Yes</b>	<b>No</b>
Religious belief		X
Political opinion		X
Racial group		X
Age		X
Marital status		X
Sexual orientation		X
Sex		X
Disability		X
Dependency		X

**Please give details**

None

**3.3 Have consultations with the relevant representative organisations or individuals within any of the Section 75 categories, indicated that policies of this type create problems specific to them?**

	<b>Yes</b>	<b>No</b>
Religious belief		
Political opinion		
Racial group		
Age		
Marital status		
Sexual orientation		
Sex		
Disability		
Dependants		

**Please give details of any consultation carried out, and any problems identified.**

No consultations have been carried out.

**3.4 Is there an opportunity to better promote equality of opportunity or community relations by altering the policy, or by working with others, in Government, or in the larger community in the context of this policy?**

No.

**Please give details**

The Regulations will not impact adversely on any of the Section 75 groups and therefore the policy does not need to be altered.

**3.5 It may be that a policy/legislation has a differential impact on a certain Section 75 group, as the policy has been developed to address an existing or historical inequality or disadvantage. If this is the case, please give details below:**

This legislation is not being developed to address an existing or historical inequality.

**3.6 Please consider if there is any way of adapting the policy to promote better equality of opportunity or good relations.**

**Please give details**

None

**Section 4**

**EQIA Recommendation**

You should consider carefully in this section whether full EQIA is necessary, particularly where answers to any questions in Section 3 are affirmative.

**4.1 Full EQIA procedures should be carried out on policies considered to have significant implications for equality of opportunity. Please fill in the following grid in relation to the policy/legislation.**

<b>Prioritisation Factors</b>	<b>Significant Impact</b>	<b>Moderate Impact</b>	<b>Low Impact</b>
Social Need.			X
Effect on people's daily lives.			X
Effect on economic, social and human rights.			X
Strategic significance			X
Financial significance			X

**Please give details**

The legislation will have a very low impact on the categories above. It does not introduce any new requirements, restrictions, prohibitions or offences.

**4.2 In view of the considerations in Section 3 and 4 do you consider that this policy/legislation should be subject to a full EQIA? Please give reasons for your considerations. If you are unsure, please consult with affected groups and revisit the screening analysis accordingly. Yes/No/Unsure**

No. The proposed legislation will have little or no impact on equality of opportunity.

**4.3 If an EQIA is considered necessary please comment on the priority and timing in light of the factors in table 4.1.**

N/A.

**4.4 If an EQIA is considered necessary is any data required to carry it out/ensure effective monitoring?**

N/A.

**Section 5**

**Endorsement**

I can confirm that the proposed policy has been screened for equality of opportunity and good relations implications and has been screened out for equality impact assessment.

**Signed: Michael O’Kane**

**Division: Lands & Legislation**

**Date:** June 2005

**Section 6**

**Consultation – For Completion by Equality Unit**

	<b>Date</b>
<b>Screening result recorded:</b>	<u><b>29 February 2008</b></u>
<b>Placed on website:</b>	<u><b>September 2008</b></u>
<b>Issued for consultation:</b>	<u><b>October 2008</b></u>
<b>Outcome of consultation:</b>	_____
<b>Screening out completed:</b>	_____

Screening to be reconsidered: \_\_\_\_\_

Give reasons:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Agency/Division notified date: \_\_\_\_\_

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**PLEASE FORWARD A COPY OF THIS COMPLETED FORM TO:**

**DRD EQUALITY UNIT  
ROOM 413A  
CLARENCE COURT  
10-18 ADELAIDE STREET  
BELFAST  
BT2 8GB**

**QUERIES TO: LIZ STEWART EXT 40867 [Liz.Stewart@drdni.gov.uk](mailto:Liz.Stewart@drdni.gov.uk)  
TIM LAVERTY EXT 40850 [tim.laverty@drdni.gov.uk](mailto:tim.laverty@drdni.gov.uk)**